

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

LETICIA JIMENEZ,

No. CV 13-8676 SS

Plaintiff,

**MEMORANDUM DECISION AND ORDER**

CAROLYN W. COLVIN,  
Acting Commissioner of the  
Social Security Administration,

Defendant.

**MEMORANDUM DECISION AND ORDER**

I.

## INTRODUCTION

Leticia Jimenez ("Plaintiff") seeks review of the final decision of the Commissioner of the Social Security Administration (the "Commissioner" or the "Agency") denying her Disability Insurance Benefits and Supplemental Security Income. The parties consented, pursuant to 28 U.S.C. § 636(c), to the

1 jurisdiction of the undersigned United States Magistrate Judge.  
2 For the reasons stated below, the decision of the Commissioner is  
3 AFFIRMED.

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5 **II.**

6 **PROCEDURAL HISTORY**

7

8 Plaintiff filed applications for Title II Disability  
9 Insurance Benefits ("DIB") and Title XVI Supplemental Security  
10 Income ("SSI") on July 27, 2010. (Administrative Record ("AR")  
11 233-36, 237-41). Plaintiff alleged a disability onset date of  
12 September 20, 2007. (AR 233, 237). The Agency denied  
13 Plaintiff's applications on March 8, 2011. (AR 105-07, 108-10).  
14 On March 24, 2011, Plaintiff requested a hearing before an  
15 Administrative Law Judge ("ALJ"). (AR 111-12). Plaintiff  
16 testified at the first of two hearings before ALJ Christine Long  
17 on May 3, 2012 ("First Hearing"). (AR 49-68). A Spanish  
18 language interpreter translated for Plaintiff. (AR 52).

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20 At the First Hearing, vocational expert ("VE") Susan D.  
21 Green incorrectly cited the Dictionary of Occupational Titles  
22 ("DOT") code for Plaintiff's previous relevant employment as a  
23 data entry clerk. (AR 72). After the hearing, the ALJ conducted  
24 additional research to establish the proper DOT code. (AR 72).  
25 On May 23, 2012, the ALJ sought a written opinion by a new VE,  
26 Frank Corso, Jr., as to whether use of the wrong DOT code could  
27 lead to an incorrect assessment of Plaintiff's residual  
28 functional capacity (RFC). (AR 335-39). Mr. Corso preferred his

1 opinion on May 30, 2012. (AR 339). On June 5, 2012, the ALJ  
2 informed Plaintiff that she wished to enter Mr. Corso's opinion  
3 into the record as additional evidence. (AR 342).

4

5 On June 14, 2012, Plaintiff, now represented by attorney  
6 Joel D. Leidner, requested a supplemental hearing. (AR 161). On  
7 July 18, 2012, Plaintiff testified at the supplemental hearing  
8 ("Second Hearing"). (AR 69-96). The ALJ issued an unfavorable  
9 decision on August 21, 2012. (AR 22-38). Plaintiff filed a  
10 timely request for review with the Appeals Council on September  
11 20, 2012 (AR 18), which the Council denied on October 22, 2013.  
12 (AR 1-4). Plaintiff filed the instant action on December 3,  
13 2013. (Dkt. No. 3).

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### III.

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#### **FACTUAL BACKGROUND**

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18 Plaintiff was born on October 18, 1965. (AR 36). She was  
19 forty-one years old as of the alleged disability onset date and  
20 forty-six years old when she appeared before the ALJ. (AR 57,  
21 75, 233, 237). Plaintiff attended elementary school in Mexico  
22 and continued her education through the tenth grade after moving  
23 to the United States in 1978. (AR 36, 58). Plaintiff worked as  
24 a check processor for a bank for approximately ten years prior to  
25 the alleged disability onset date. (AR 260). She alleges that

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1 pain in her hands prevented her from working after September 20,  
 2 2007.<sup>1</sup> (AR 76).

3

4 On September 27, 2007, Plaintiff filed claims with the  
 5 California Workers' Compensation Appeals Board ("Board") for four  
 6 work-related injuries and conditions sustained between 2002 and  
 7 2007: "strain and stress on the job," "[Plaintiff] fell from a  
 8 chair," "a metal hit [Plaintiff's] chest" and "strain of viewing  
 9 computer monitor." (AR 203-07). Board-approved workers'  
 10 compensation physician Michael Bazel treated Plaintiff beginning  
 11 on September 27, 2007. (AR 386). Although the Board initially  
 12 found Plaintiff ineligible for benefits, an ALJ reversed this  
 13 decision on appeal. (AR 214). The Board ALJ noted that  
 14 Plaintiff had experienced hand pain since 2004, but a Board-  
 15 appointed orthopedic surgeon failed to consider this symptom when  
 16 he certified Plaintiff to return to work in February 2008.<sup>2</sup>  
 17 (Id.). Plaintiff settled with the Board on July 7, 2009.<sup>3</sup> (AR  
 18 217).

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21 <sup>1</sup> Plaintiff told the ALJ that she stopped working due to hand  
 22 pain. (AR 76). However, in the Disability Report accompanying  
 23 her benefits application, Plaintiff stated that she stopped  
 24 working because of "conditions" including "Lower back," "Right  
 25 and Left Wrists," "Carpal Tunnel," "Arthritis in Knees and body,"  
 26 "Insomnia" and "Depression and Anxiety." (AR 259).

27 <sup>2</sup> The Board ALJ's observation is confirmed by records from  
 28 Plaintiff's personal physician, Dr. George Bernales, which noted  
 wrist pain as early as 2003. (See, e.g. AR 443).

<sup>3</sup> As part of her workers' compensation settlement, Plaintiff  
 declared that she was not receiving Social Security benefits and  
 did not anticipate applying for benefits within six months. (AR  
 231). She did not apply for Social Security benefits until a  
 year after the settlement. (AR 233, 237).

1       **A. Medical History And Doctors' Opinions**

2

3       **1. Physical Condition**

4

5           a. Dr. George Bernales

6

7       Plaintiff first saw George Bernales, M.D., her primary care

8 physician, in 1994. (AR 496). Dr. Bernales treated Plaintiff

9 for insomnia (January 12, 2000; AR 482); a ganglion cyst (June

10 21, 2000; AR 480); anxiety (June 20, 2001; AR 478); and a non-

11 cancerous growth in Plaintiff's right eye.<sup>4</sup> (AR 427-28). On

12 August 25, 2003, Dr. Bernales referred Plaintiff to

13 rheumatologist Michael Maehara, M.D., for left wrist pain. (AR

14 443). The rheumatologist's treatment notes show that Plaintiff

15 reported suffering intermittent wrist pain for a year. (Id.).

16 He attributed the pain "most likely [to] overuse syndrome" and

17 prescribed Motrin, also reporting his conclusions to Dr.

18 Bernales. (AR 444). Dr. Bernales diagnosed carpal tunnel

19 syndrome ("CTS") in April 2005.<sup>5</sup> (AR 429).

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25       <sup>4</sup> The specific eye diagnosis was of a pterygium. (AR 428). A

26 pterygium is a non-cancerous growth that may be symptomless or

27 cause burning, irritation or vision problems. See Pterygium,

28 MEDLINEPLUS, <http://www.nlm.nih.gov/medlineplus/ency/article/001011.htm> (last visited Oct. 9, 2014).

5       The exact date is unclear from the treatment note, as is the

wrist in question.

1                   b.     Dr. Michael Bazel

2  
 3                 On September 25, 2007, Plaintiff selected Michael Bazel,  
 4 M.D., to serve as the primary treating physician for her workers'  
 5 compensation determination. (AR 202, 386). Plaintiff first  
 6 visited Dr. Bazel that same day. (AR 386). On February 9, 2009,  
 7 two months after his last examination of Plaintiff, Dr. Bazel  
 8 issued his final "Permanent and Stationary Report" to the Board.  
 9 (Id.). After describing the injuries Plaintiff alleged in her  
 10 workers' compensation claims (AR 387-89), Dr. Bazel noted that  
 11 Plaintiff complained of headache, "bilateral wrist and hand,"  
 12 upper back pain, and low back pain.<sup>6</sup> (AR 389). He performed a  
 13 number of tests on Plaintiff's upper extremities, noting  
 14 tenderness "over the dorsal and palmar aspects of the lists,  
 15 bilaterally." (AR 392). Both wrists showed a normal range of  
 16 motion. (Id.). However, two tests used to diagnose CTS -- the  
 17 Tinel and Phalen tests -- showed results consistent with the  
 18 syndrome.<sup>7</sup>

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21                 <sup>6</sup> The Court assumes that "bilateral wrist and hand" also  
 22 refers to a pain complaint.

23                 <sup>7</sup> "In the Tinel test, the doctor taps on or presses on the  
 24 median nerve in the patient's wrist. The test is positive when  
 25 tingling in the fingers or a resultant shock-like sensation  
 26 occurs. The Phalen, or wrist-flexion, test involves having the  
 27 patient hold his or her forearms upright by pointing the fingers  
 28 down and pressing the backs of the hands together. The presence  
 within 1 minute." Carpal Tunnel Syndrome Fact Sheet, NINDS,  
[http://www.ninds.nih.gov/disorders/carpal\\_tunnel/detail\\_carpal\\_tunnel.htm](http://www.ninds.nih.gov/disorders/carpal_tunnel/detail_carpal_tunnel.htm) (last visited Oct. 9, 2014).

1       Dr. Bazel also reviewed magnetic resonance images (MRIs) and  
 2 nerve conduction studies of Plaintiff's wrists taken by  
 3 radiologist Sim Hoffman, M.D., on December 12, 2007. (AR 399).  
 4 He affirmed the radiologist's impression that enlargement of the  
 5 median nerve in Plaintiff's right wrist was consistent with CTS,  
 6 and also found mild enlargement of the median nerve in the left  
 7 wrist.<sup>8</sup> (AR 399). However, after comparing the results of upper  
 8 extremity studies conducted on January 23 and July 31, 2008, Dr.  
 9 Bazel found "definite improvement" in Plaintiff's CTS and an  
 10 apparent resolution of left ulnar neuropathy. (AR 399-400). Dr.  
 11 Bazel also noted "tenderness and spasm" in Plaintiff's lower back  
 12 (AR 395), and described an MRI showing "multilevel disk disease"  
 13 and a nerve conduction study "consistent with radiculopathy."<sup>9</sup>  
 14 (AR 402). Here once again, however, Dr. Bazel's final report  
 15 noted "definite improvement" in the lumbar area, with "apparent  
 16 resolution" of neuropathy he had suspected earlier. (AR 399-  
 17 400).

18

19       Dr. Bazel's December 9, 2008 Permanent and Stationary Report  
 20 made eleven diagnoses: (1) pterygium; (2) vision difficulty; (3)

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22       <sup>8</sup> In his report to Dr. Bazel, Dr. Hoffman opined that carpal  
 23 tunnel syndrome "cannot be excluded" (AR 348) and "should be  
 24 clinically considered." (AR 351). Dr. Bazel interpreted Dr.  
 25 Hoffman's MRIs and nerve conduction studies as showing "findings  
 26 consistent with bilateral carpal tunnel syndrome." (AR 402).  
 27 Dr. Hoffman did not compare the extent of the median nerve  
 28 enlargement in Plaintiff's left and right wrists. (See AR 348,  
 351).

22       <sup>9</sup> Radiculopathy is "any disease that affects the spinal nerve  
 23 roots," and may be caused by herniated disks. Herniated disk,  
 24 MEDLINEPLUS, <http://www.nlm.nih.gov/medlineplus/ency/article/000442.htm> (last visited Oct. 10, 2014).

1 lower back strain; (4) disc disease; (5) radiculopathy; (6)  
 2 bilateral wrist sprain; (7) bilateral CTS; (8) headaches; (9)  
 3 depression; (10) anxiety; and (11) insomnia.<sup>10</sup> (AR 401).  
 4 However, Dr. Bazel's 2008 report found that Plaintiff had  
 5 "dramatically improved" and could return to work with certain  
 6 restrictions.<sup>11</sup> (Id.). These included avoiding repetitive  
 7 pushing or pulling with the hand or wrist, avoiding repetitive  
 8 finger or wrist motion, not lifting "beyond 20 lbs.," and  
 9 avoiding bending, stooping, climbing, prolonged standing or  
 10 walking, and driving over 60 minutes. (AR 402).

11

12 c. Dr. Carl E. Millner

13

14 On January 21, 2011, state agency consultative physician  
 15 Carl E. Millner, M.D., conducted an internal medicine examination  
 16 of Plaintiff. (AR 506-10). Plaintiff complained of wrist and  
 17 knee pain, and Dr. Millner ordered x-rays of Plaintiff's wrists  
 18 and knees. (AR 506, 511-12). The x-rays revealed soft-tissue  
 19 swelling over all of these joints, but no acute conditions. (AR  
 20 511-12). Plaintiff reported that she was currently taking  
 21 lorazepam, ranitidine, cyclobenzaprine, and Tylenol Arthritis.<sup>12</sup>

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<sup>10</sup> Dr. Bazel noted that the pterygium had "resolved." (AR 400). Plaintiff appears to have undergone surgery to remove this condition in 2006. (AR 426).

23

<sup>11</sup> Dr. Bazel certified Plaintiff to return to work as early as October 23, 2008, so long as she restricted the use of her hands. (AR 406).

24

<sup>12</sup> According to the National Institutes of Health, the first three medications are used for the following conditions: lorazepam (anxiety, insomnia); ranitidine (acid reflux); cyclobenzaprine (muscle pain and strain). Lorazepam, Ranitidine, Cyclobenzaprine, MEDLINEPLUS, <http://www.nlm.nih.gov/medlineplus/>

1 Dr. Millner noted that Plaintiff's CTS had "resolved" following  
 2 conservative treatment. (AR 507, 509). He recorded normal  
 3 responses to both the Phalen and Tinel tests used for this  
 4 condition.<sup>13</sup> (AR 509). Dr. Millner noted that at Plaintiff's  
 5 wrist joints, "[f]lexion, extension, radial deviation and ulnar  
 6 deviation are within normal limits bilaterally." (AR 508).  
 7 Flexion and extension of Plaintiff's finger and thumb joints were  
 8 normal, as well. (*Id.*). She was able to make a fist "without  
 9 difficulty," to extend her hands, and "to  
 10 oppose the thumb to each finger." (*Id.*). Although Dr. Millner  
 11 diagnosed mild osteoarthritis of the knees and mild lumbar  
 12 radiculopathy, based on his examination and a review of  
 13 Plaintiff's history he found that Plaintiff had "no restrictions"  
 14 on pushing, pulling, lifting, carrying, walking, standing,  
 15 sitting or any other physical activity. (AR 509-10).

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17       **2. Mental Condition**

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19           a. Dr. Alexis Meshi

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21       On February 15, 2011, state agency consultative psychiatrist  
 22 Alexis Meshi, M.D., conducted a mental health examination of  
 23 Plaintiff. Dr. Meshi noted that Plaintiff drove herself to the  
 24 examination but wore a brace on her right hand. (AR 515).  
 25 Plaintiff reported that she had been struggling with moderate

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27 druginfo/meds/ (locate "Browse by generic or brand name" and  
 28 click first letter of drug name) (last visited Oct. 10, 2014)).

<sup>13</sup> See n.7 for descriptions of these tests.

1 depression and some anxiety issues since 2007. (Id.). She  
 2 cried "more frequently," suffered insomnia, and reported having  
 3 "what sounds like panic attacks." (Id.). However, medications  
 4 relieved these symptoms. (AR 515-516). Plaintiff said she had  
 5 "[gotten] along excellently" while working at the bank and had  
 6 not been the subject of any "negative personnel action." (AR  
 7 516). She denied a family mental illness history and was not  
 8 seeing a psychiatrist. (Id.).

9

10 Dr. Meshi assessed Plaintiff with mild memory problems and  
 11 "more significant difficulty with attention and focus issues."  
 12 (AR 518). However, she opined that Plaintiff could follow one-  
 13 and two-part instructions "certainly with treatment she is  
 14 currently not doing." (Id.). Similarly, she noted that  
 15 Plaintiff had symptoms of depression and anxiety that could be  
 16 significantly relieved with appropriate treatment. (Id.). She  
 17 recommended that Plaintiff discuss further treatment with her  
 18 physician, and judged Plaintiff's prognosis as "fair." (Id.).

19

20 **B. Non-Examining Physicians' Opinions Regarding Plaintiff's**  
 21 **Physical And Mental Condition**

22

23 1. Dr. Samantha Park

24

25 Nonexamining physician Samantha Park, M.D., reviewed  
 26 Plaintiff's medical records on March 4, 2011. (AR 97-104). Dr.  
 27 Park took into account Plaintiff's allegations of low back pain,  
 28 CTS, arthritis, insomnia, depression and anxiety. (AR 97). She

1 noted that Plaintiff had "sharp pains" in her wrists and knees  
 2 and had headaches. ([Id.](#)) Dr. Park noted the medications  
 3 Plaintiff reported taking, her alleged physical limitations and  
 4 her daily activities. ([Id.](#)). Dr. Park also summarized  
 5 Plaintiff's medical records. (AR 98, 100, 102).  
 6 Based on this review, Dr. Park filed a Disability Determination  
 7 showing a primary diagnosis of depression and a secondary  
 8 diagnosis of mild osteoporosis.<sup>14</sup> (AR 103-04).

9

10           2. Dr. Winston Brown

11

12 Dr. Winston Brown reviewed Plaintiff's records and created a  
 13 Mental RFC Assessment on March 4, 2011. (AR 521-37). Dr. Brown  
 14 concluded that Plaintiff's RFC included both affective and  
 15 anxiety-related disorders. (AR 525). He found that Plaintiff  
 16 exhibited a medically determinable impairment of anxiety that did  
 17 not precisely satisfy the criteria for a specific anxiety-related  
 18 disorder. (AR 530). Dr. Brown opined that Plaintiff was either  
 19 "not significantly limited" or "moderately limited" across a  
 20 range of capacities, including understanding and memory,  
 21 sustained concentration and persistence, social interaction, and  
 22 ability to adapt. (AR 523). As an overall mental RFC  
 23 assessment, Dr. Brown concluded that Plaintiff "is able to  
 24 perform work where interpersonal contact is incidental to work  
 25 performed, e.g. assembly work; complexity of tasks is learned and  
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28           <sup>14</sup> The Disability Determination was also signed by C. Winston  
 Brown, M.D. (AR 103-04).

1 performed by rote, few variables, little judgment; supervision  
2 required is simple, direct and concrete (unskilled)." (Id.).  
3

4 **C. Vocational Expert Testimony**

5  
6 1. Susan Green  
7

8 VE Susan Green testified at the First Hearing regarding the  
9 existence of jobs that Plaintiff could perform, given her  
10 physical and mental limitations. (AR 65-67). Following the  
11 First Hearing, however, the ALJ concluded that Ms. Green used an  
12 improper DOT code for Plaintiff's past relevant work, causing her  
13 to give inaccurate answers to the ALJ's hypotheticals. (AR 28,  
14 72). The ALJ discarded VE Green's assessment and sought an  
15 assessment from a new VE, Frank Corso, Jr. (AR 74, 336).

16  
17 2. Frank Corso, Jr.  
18

19 The ALJ posed a single hypothetical in a written inquiry  
20 that Mr. Corso answered on May 30, 2012. (AR 335-39). The ALJ  
21 asked Mr. Corso to assume a hypothetical individual with  
22 Plaintiff's age, education, and literacy skills. The individual  
23 previously worked as a Data Entry Clerk "with an exertional level  
24 of sedentary work and a skill level . . . of 4." (AR 335, 337).  
25 The individual had an RFC to perform light work as follows: "lift  
26 and carry 20 pounds occasionally and 10 pounds frequently;  
27 unlimited sitting ability; stand and walk 6 hours total in an 8  
28 hour workday and must be able to alternate sitting and standing

1 every 2 hours with normal breaks; occasional stooping; and  
2 frequent handling and fingerling with both hands." (AR 337). Mr.  
3 Corso opined that such an individual would not be able to perform  
4 Plaintiff's past relevant work, because "'Data Entry Clerk'  
5 requires constant fingerling." (AR 337). However, Mr. Corso  
6 concluded that such an individual could perform other  
7 occupations. (AR 338). These included work as an order clerk,  
8 sorter, "cashier II," sales attendant, charge account clerk, or  
9 document preparer." (Id.). Mr. Corso opined that 1,400 to  
10 60,000 such positions existed in the local economy, depending on  
11 the specific job, and 40,000 to 1.7 million positions existed in  
12 the national economy. (Id.).

13

14       3. Allan Ey

15

16       Mr. Corso was unable to testify at the Second Hearing, and  
17 the ALJ sought new testimony from VE Allan Ey. (AR 73). The ALJ  
18 posed three hypotheticals. (AR 83-86). First, she asked the VE  
19 to assume an individual of Plaintiff's age and educational  
20 background who could lift and carry twenty pounds occasionally  
21 and ten pounds frequently. (AR 83-84). The individual could sit  
22 for an unlimited time, stand and walk for six out of eight work  
23 hours, alternate sitting and standing every two hours with normal  
24 breaks, and do frequent handling and fingerling with both hands.  
25 (Id.). VE Ey opined that such an individual could not do  
26 Plaintiff's past work, but could perform such "light" work as  
27 cashier II, with 40,000 jobs available regionally and one million  
28 \\

1 nationally, or mail clerk, with 6,000 jobs regionally and 100,000  
2 nationally. (Id.).

3

4 In her second hypothetical, the ALJ asked Mr. Ey to assume  
5 that the individual could lift and carry no more than ten pounds  
6 either occasionally or frequently. (Id.). The individual could  
7 sit for no more than four out of eight hours but could stand and  
8 walk for six out of eight hours. (AR 84-85). The individual  
9 could do only "frequent," not constant, handling and fingering  
10 with both hands, and would have to briefly alternate standing and  
11 sitting each hour. (AR 85). The VE opined that such an  
12 individual could not perform Plaintiff's past work, but could  
13 work as a food and beverage order clerk or as a document  
14 preparer. (Id.). There were significant numbers of these jobs  
15 available regionally and nationally. (Id.).

16

17 Finally, the ALJ asked Mr. Ey to consider a third  
18 hypothetical individual who could lift and carry no more than ten  
19 pounds occasionally or frequently and who could sit no more than  
20 four out of eight hours. (AR 86). However, this individual  
21 could stand and walk no more than two hours out of every eight,  
22 would have to alternate standing and sitting briefly every thirty  
23 minutes, could do only occasional stopping, kneeling, crouching  
24 and crawling, and could do no more than occasional fingering with  
25 both hands. (Id.). The VE opined that such an individual could  
do neither Plaintiff's former relevant work nor any other job in  
the regional or national economy. (Id.).

28 \\

1       The ALJ invited Plaintiff's counsel to ask additional  
2 questions. (Id.). Of relevance here, counsel asked the VE to  
3 opine on the relationship of "repetitive" and "frequent"  
4 workplace activities, specifically asking "if a person has to do  
5 something frequently . . . would they necessarily have to do that  
6 repetitively?" (AR 87). The VE responded that "frequent"  
7 activities are those occupying one-third to two-thirds of a  
8 workday. (AR 87-88). The VE was unable to establish a direct  
9 equivalence of the terms "frequent" and "repetitive" but opined  
10 that frequent activities might be those that were "intermittent  
11 repetitive." (AR 88).

12

13       Counsel also asked the VE to consider an individual with  
14 limitations identical to those Dr. Bazel had specified for  
15 Plaintiff: "no repetitive pushing or pulling with hand/wrist, no  
16 repetitive finger/wrist motion, . . . no lifting beyond 20  
17 pounds, no bending, stooping, climbing, prolonged standing or  
18 walking, no driving over 60 minutes." (Compare AR 90 and AR  
19 402). The VE opined that an individual with those limitations  
20 could not do any of the alternative jobs. (AR 91). Finally,  
21 referring to Dr. Meshi's psychiatric report, counsel asked the VE  
22 to consider an individual with a "moderately significant"  
23 attention and focus problem but who could follow one- and two-  
24 part instructions. (AR 91, 92-93, 518). The VE opined that such  
25 an individual could not do any of the alternative jobs. (AR 92).

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1       D. **Plaintiff's Testimony**

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3       **1. Testimony Before The ALJ**

4

5       Plaintiff attributed her condition to two accidents she  
6 suffered while working for the bank, resulting in back and wrist  
7 injuries.<sup>15</sup> (AR 61). Plaintiff saw worker's compensation  
8 physicians until 2009, when she was treated by new physicians.  
9 (AR 61-62). She described her ongoing problems as lower back  
10 pain, pain and numbness in her knees, neck and wrist pain, and  
11 numbness in her fingers. (AR 62). She had physical therapy for  
12 her back and wrists but avoided recommended back surgery "because  
13 I've heard that people have become not able to walk." (Id.).  
14

15       In a typical day, Plaintiff awoke at seven a.m., had a light  
16 breakfast and then took pain medication. (AR 63). She also took  
17 pain medication before going to bed at eight p.m., and again in  
18 the middle of the night when she typically awoke with pain. (AR  
19 63-64). During the day, she did "whatever I'm able to do that's  
20 not heavy" around the house and prepared meals, but relied on her  
21 husband to help with household tasks she could not handle. (AR  
22 63, 77). She could sit for about an hour, but then would feel  
23 "burning pain" in her back and had to stand. (AR 64). She  
24 needed to stand for a few minutes during the Second Hearing. (AR  
25  
26  
27

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28       <sup>15</sup> As both hearings were before ALJ Christine Long, discussion  
of Plaintiff's testimony will be combined in a single section.

1 77). She could walk longer than she could sit, and routinely  
2 took walks around the block. (AR 64). However, standing caused  
3 her to feel tired, and she felt best when lying down. (AR 78).

4

5 Plaintiff experienced "awful" back pain the night before the  
6 Second Hearing, and stopped at her physician's office for an  
7 injection of pain medication prior to meeting with the ALJ.  
8 (Id.). She continued to see a physical therapist twice a week  
9 for her hands and once a week for her back, but at the time of  
10 the Second Hearing she had not seen an orthopedist for two  
11 months. (AR 78-79). She wore braces on both wrists "most of the  
12 time," including while driving.<sup>16</sup> (AR 76-77). Plaintiff  
13 testified that her medications were effective at treating her  
14 pain but caused dizziness. (AR 63).

15

16 Plaintiff testified that the pain in her hands caused her to  
17 leave her bank job. (AR 76). The pain prevented her from  
18 meeting production quotas and caused her to take unscheduled  
19 breaks. (Id.). Because her pain medication caused dizziness,  
20 she was unable to take it during the workday. (AR 79). She  
21 noted that Dr. Bazel, the workers' compensation physician, told  
22 her to reduce her work hours from eight to no more than four or  
23 six. (AR 80).

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27 <sup>16</sup> Plaintiff gave conflicting testimony about her ability to  
28 drive, first stating that lower back pain prevented her from  
driving but then stating that she drove "a little." (AR 58, 63).

1           **2. Statements From Plaintiff's Benefits Application**

2

3           In reports accompanying her benefits application, Plaintiff  
 4 stated that she stopped working on September 20, 2007, about a  
 5 year after her conditions caused her to modify her work habits.<sup>17</sup>  
 6 (AR 259). Plaintiff listed these conditions as "Lower back,"  
 7 "Right and Left Wrists," "Carpal Tunnel," "A[r]thritis in Knees  
 8 and body," insomnia, depression and anxiety. (Id.). She noted  
 9 that her work consisted of running checks through a processing  
 10 machine and inputting information from the checks on a computer.  
 11 (AR 261). Twice a day, she had to lift and carry a "tray full of  
 12 checks" approximately thirty feet, and she frequently lifted  
 13 twenty pounds.<sup>18</sup> (AR 280). On a typical workday, Plaintiff would  
 14 sit for six hours, walk or stand for one hour, and write, type or  
 15 handle small objects for seven hours. (Id.). Plaintiff did not  
 16 have to write or complete reports. (Id.).

17

18           Plaintiff described her symptoms as "sharp pains on my  
 19 wrist, knees, and also headaches," and stated that the pain  
 20 usually lasted five hours if unmedicated. (AR 287). She told  
 21 the Agency interviewer that she "[had been] taking medications  
 22 but I no longer take them. I am only taking [T]ylenol [for]

23

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24           <sup>17</sup> Plaintiff did not specify how she had modified her work  
 25 habits.

26           <sup>18</sup> Plaintiff's July 27, 2010 Disability Report and her October  
 27, 2010 Work History Report indicated that she carried different  
 28 maximum weights. In the Disability Report, completed by Agency  
 interviewer P. Rangel, Plaintiff indicated that she carried a  
 maximum of ten pounds. (AR 261). In the Work History Report,  
 which Plaintiff completed on her own, she reported carrying up to  
 twenty pounds. (AR 280).

1       arthritis."<sup>19</sup> (AR 266). Plaintiff reported experiencing pain  
 2 every other day, and stated that excessive lifting, kneeling,  
 3 "heavy duty work," typing and writing caused pain. (AR 287).  
 4 She also experienced migraines approximately monthly. (AR 288).  
 5 Cold weather, air conditioning and "not having medicine" made her  
 6 symptoms worse, but wearing warm clothing, drinking hot tea and  
 7 physical therapy helped. (Id.).

8  
 9       In a typical day, Plaintiff showered, had breakfast, did  
 10 "light" housework, went outside to water her plants, and fed her  
 11 dog and pet birds. (AR 289). Plaintiff was able to prepare  
 12 complete meals daily, but felt pain if she did not keep the  
 13 cooking "easy." (AR 291). She could do the laundry twice a  
 14 week, wash small amounts of dishes when necessary and make her  
 15 bed every day. (Id.). However, she needed help opening cans and  
 16 bottles, getting items from shelves, sweeping and mopping,  
 17 removing weeds and cutting the lawn. (Id.).

18  
 19       Plaintiff went for walks outside twice a week (AR 289), went  
 20 grocery shopping once every two weeks for an hour, and could  
 21 drive on her own. (AR 292). She attended church once a week and  
 22 went to a park twice a week. (AR 293). However, due to her  
 23 conditions she had to give up camping and could not attend social  
 24 events at night or in cold weather. (AR 294). She no longer

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25       <sup>19</sup> Plaintiff's Disability Report, completed by the Agency  
 26 interviewer, differed from with the "Pain and Other Symptoms"  
 27 report Plaintiff completed on her own three months later. On the  
 28 latter report, Plaintiff listed her current medications as  
 naproxen, omeprazole, temazepam, ranitidine, and lorazepam.  
(Compare AR 266 and AR 288).

1 went to the gym, and required her husband's help to walk the dog  
 2 or clean the bird cage. (AR 290). She could not brush her hair  
 3 as she wanted to, and "sharp pains" interfered with her sleep.  
 4 (Id.).

5

6 Plaintiff could pay attention for an hour, follow written  
 7 instructions well "after reading them 2-3 times," and get along  
 8 well with authority figures. (AR 294-95). She had never been  
 9 fired from a job due to an inability to get along with others.  
 10 (AR 295). However, she reported that she experienced anxiety  
 11 when home alone, and rated her stress level as "mid level."<sup>20</sup>  
 12 (Id.).

13

14

**IV.****THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS**

15

16  
 17 To qualify for disability benefits, a claimant must  
 18 demonstrate a medically determinable physical or mental  
 19 impairment that prevents her from engaging in substantial gainful  
 20 activity and that is expected to result in death or to last for a  
 21 continuous period of at least twelve months. Reddick v. Chater,  
 22 157 F.3d 715, 721 (9th Cir. 1998) (citing 42 U.S.C. §  
 23 423(d)(1)(A)). The impairment must render the claimant incapable  
 24 of performing the work she previously performed and incapable of

25

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<sup>20</sup> In the Disability Report filed with her 2011 appeal, Plaintiff described her hands as hurting more and her anxiety and insomnia as worse. (AR 299). Due to a lack of income, she had to borrow money from relatives in order to pay for pain medication. (AR 302). She also reported suffering from depression. (Id.).

1 performing any other substantial gainful employment that exists  
2 in the national economy. Tackett v. Apfel, 180 F.3d 1094, 1098  
3 (9th Cir. 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

4

5 To decide if a claimant is entitled to benefits, an ALJ  
6 conducts a five-step inquiry. 20 C.F.R. §§ 404.1520, 416.920.  
7 The steps are:

8

- 9 (1) Is the claimant presently engaged in substantial  
10 gainful activity? If so, the claimant is found  
11 not disabled. If not, proceed to step two.
- 12 (2) Is the claimant's impairment severe? If not, the  
13 claimant is found not disabled. If so, proceed  
14 to step three.
- 15 (3) Does the claimant's impairment meet or equal one  
16 of the specific impairments described in 20  
17 C.F.R. Part 404, Subpart P, Appendix 1? If so,  
18 the claimant is found disabled. If not, proceed  
19 to step four.
- 20 (4) Is the claimant capable of performing his past  
21 work? If so, the claimant is found not disabled.  
22 If not, proceed to step five.
- 23 (5) Is the claimant able to do any other work? If  
24 not, the claimant is found disabled. If so, the  
25 claimant is found not disabled.

26

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28 \\

1       Tackett, 180 F.3d at 1098-99; see also Bustamante v. Massanari,  
2 262 F.3d 949, 953-54 (9th Cir. 2001) (citations omitted); 20  
3 C.F.R. §§ 404.1520(b)-(g)(1) & 416.920(b)-(g)(1).

4

5           The claimant has the burden of proof at steps one through  
6 four, and the Commissioner has the burden of proof at step five.  
7 Bustamante, 262 F.3d at 953-54. Additionally, the ALJ has an  
8 affirmative duty to assist the claimant in developing the record  
9 at every step of the inquiry. Id. at 954. If, at step four, the  
10 claimant meets her burden of establishing an inability to perform  
11 past work, the Commissioner must show that the claimant can  
12 perform some other work that exists in "significant numbers" in  
13 the national economy, taking into account the claimant's residual  
14 functional capacity ("RFC"), age, education, and work experience.  
15 Tackett, 180 F.3d at 1098, 1100; Reddick, 157 F.3d at 721; 20  
16 C.F.R. §§ 404.1520(g)(1), 416.920(g)(1). The Commissioner may do  
17 so by the testimony of a vocational expert or by reference to the  
18 Medical-Vocational Guidelines appearing in 20 C.F.R. Part 404,  
19 Subpart P, Appendix 2 (commonly known as "the Grids"). Osenbrock  
20 v. Apfel, 240 F.3d 1157, 1162 (9th Cir. 2001). When a claimant  
21 has both exertional (strength-related) and non-exertional  
22 limitations, the Grids are inapplicable and the ALJ must take the  
23 testimony of a vocational expert. Moore v. Apfel, 216 F.3d 864,  
24 869 (9th Cir. 2000) (citing Burkhart v. Bowen, 856 F.2d 1335,  
25 1340 (9th Cir. 1988)).

26 \\

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28 \\

v.

## **THE ALJ'S DECISION**

The ALJ employed the five-step sequential evaluation process and concluded that Plaintiff was not under a disability within the meaning of the Social Security Act from September 20, 2007, through the date of the ALJ's decision on August 21, 2012. (AR 38). At step one, the ALJ found that Plaintiff had not engaged in substantial gainful employment since September 20, 2007. (AR 31). At step two, the ALJ found that Plaintiff had four "severe" impairments: work-related CTS and left lumbar L5 radiculopathy; mild degenerative disc disease of the lumbar spine; and mild degenerative disc disease of the cervical spine (Id.). At step three, the ALJ found that Plaintiff did not have an impairment or combination of impairments that met or medically equaled one of the listed impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1. (AR 32). The ALJ then found that Plaintiff had the following RFC:

[Plaintiff] has the residual functional capacity to:  
lift and carry 20 pounds occasionally and 10 pounds  
frequently; sit without limitation; stand and walk 6  
hours in an 8-hour workday, but she must be able to  
alternate between sitting and standing briefly every 2  
hours with normal breaks; occasionally stoop; and  
frequently handle and finger with both hands (20 CFR  
404.1520(e); 20 CFR 416.920(e)).

28 || (Id.).

1       In making this finding, the ALJ gave significant weight to  
 2 Dr. Bazel's conclusions about Plaintiff's CTS.<sup>21</sup> (AR 34-35). She  
 3 noted, in particular, that while Dr. Hoffman's MRI and nerve  
 4 conduction studies suggested carpal tunnel syndrome, Dr. Bazel's  
 5 December 9, 2008 final report found that Plaintiff's condition  
 6 had shown "definite improvement" during 2008. (AR 34). She also  
 7 noted Dr. Bazel's opinion that after a full course of  
 8 conservative treatment, Plaintiff had "dramatically improved and  
 9 [was] able to go to modified duty." (AR 35).

10

11       Further, the ALJ observed that there was no evidence in the  
 12 Administrative Record suggesting that Plaintiff sought or  
 13 obtained treatment for CTS between December 2008 and November  
 14 2011, when Plaintiff had a single neurological consultation  
 15 confirming that CTS was still present. (*Id.*). Although Dr.  
 16 Bazel had advised Plaintiff to avoid "repetitive" wrist and  
 17 finger motions, the ALJ concluded that this still permitted  
 18 Plaintiff to make "frequent" wrist or finger motions. (*Id.*).  
 19 Such motions, she observed, were consistent with the RFC. (*Id.*).  
 20 Similarly, Dr. Bazel's Permanent and Stationery Report was  
 21 "consistent with light work activities" and the limitations  
 22 encompassed by the RFC. (*Id.*).

23 \\

24 \\

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25       <sup>21</sup> The ALJ noted that the Administrative Record included  
 26 treatment records from Plaintiff's primary care physician, Dr.  
 27 Bernales, but observed that these records did not establish  
 28 impairment as of the alleged disability onset date. (AR 36). She opined that Dr. Bernales's records from before or after the "2007-2009" period were not relevant to her inquiry. (*Id.*).

1       Additionally, the ALJ weighed Plaintiff's testimony as to  
2 her symptoms, limitations and daily activities, concluding that  
3 Plaintiff's testimony was not completely credible. (AR 33-34).  
4 The ALJ reasoned, in particular, that Plaintiff's decision not to  
5 undergo surgery, her "minimal use of medication," and lack of  
6 follow-up treatment or limited use of recommended specialists  
7 indicated that her pain was less severe than alleged. (Id.).  
8 Moreover, Plaintiff was able to wash dishes, do laundry, cook,  
9 clean, feed her puppy, and grasp and pull weeds, all of which  
10 suggested that her capabilities were not as limited as she  
11 alleged. (Id.).

12

13       At step four, the ALJ determined that Plaintiff was unable  
14 to perform any past relevant work as defined by 20 C.F.R. §§  
15 404.1520(f), 404.1565, 416.920(f) and 416.965. (AR 36).  
16 Finally, at step five the ALJ considered Plaintiff's age,  
17 education, work experience, and RFC and concluded that she could  
18 perform jobs available in significant numbers in the national  
19 economy. (AR 38). The ALJ noted that, due to Plaintiff's  
20 "additional limitations," she could not be expected to perform  
21 the full range of "light work." (AR 37). However, considering  
22 the VE testimony, the ALJ found that Plaintiff could find  
23 employment as an order clerk, clerical sorter, sales attendant or  
24 mail clerk. (AR 37-38). Therefore, the ALJ concluded that  
25 Plaintiff was not disabled under the Agency's rules. (AR 38).

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1 VI.  
2  
34 STANDARD OF REVIEW  
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6

7 Under 42 U.S.C. § 405(g), a district court may review the  
8 Commissioner's decision to deny benefits. The court may set  
9 aside the Commissioner's decision when the ALJ's findings are  
10 based on legal error or are not supported by substantial evidence  
11 in the record as a whole. Aukland v. Massanari, 257 F.3d 1033,  
12 1035 (9th Cir. 2001) (citing Tackett, 180 F.3d at 1097); Smolen  
13 v. Chater, 80 F.3d 1273, 1279 (9th Cir. 1996) (citing Fair v.  
14 Bowen, 885 F.2d 597, 601 (9th Cir. 1989)).  
15  
16

17 "Substantial evidence is more than a scintilla, but less  
18 than a preponderance." Reddick, 157 F.3d at 720 (citing Jamerson  
19 v. Chater, 112 F.3d 1064, 1066 (9th Cir. 1997)). It is "relevant  
20 evidence which a reasonable person might accept as adequate to  
21 support a conclusion." Id. (citing Jamerson, 112 F.3d at 1066;  
22 Smolen, 80 F.3d at 1279). To determine whether substantial  
23 evidence supports a finding, the court must "'consider the record  
24 as a whole, weighing both evidence that supports and evidence  
25 that detracts from the [Commissioner's] conclusion.'" Aukland,  
26 257 F.3d at 1035 (quoting Penny v. Sullivan, 2 F.3d 953, 956 (9th  
27 Cir. 1993)). If the evidence can reasonably support either  
28 affirming or reversing that conclusion, the court may not  
substitute its judgment for that of the Commissioner. Reddick,  
157 F.3d at 720-21 (citing Flaten v. Sec'y, 44 F.3d 1453, 1457  
(9th Cir. 1995)).

VII.

## DISCUSSION

4 Plaintiff challenges the ALJ's decision on two grounds.  
5 First, Plaintiff asserts that because ALJ failed to reject Dr.  
6 Bazel's assessment of Plaintiff's physical limitations, that  
7 assessment must be credited as true. (Memorandum in Support of  
8 Plaintiff's Complaint ("MSPC") at 5). Second, because Dr. Bazel  
9 recommended that Plaintiff avoid repetitive use of her hands,  
10 Plaintiff contends that the ALJ's hypothetical -- which allegedly  
11 omitted any reference to this limitation -- elicited inaccurate  
12 testimony from VE Allan EY. (MSPC at 6-7).

14 The Court disagrees with both contentions. The record  
15 demonstrates that the ALJ credited Dr. Bazel's opinion, gave it  
16 great weight, and found it consistent with the RFC she applied.  
17 Moreover, the record contradicts Plaintiff's assertion that the  
18 ALJ disregarded Dr. Bazel's recommendation against "repetitive"  
19 hand motions when she posed her hypotheticals to VE Ey.  
20 Accordingly, for the reasons discussed below, the Court finds  
21 that the ALJ's decision must be AFFIRMED.

**A. The ALJ Gave Proper Weight To Dr. Bazel's Opinions**

25 Plaintiff argues that the ALJ discussed but did not reject  
26 Dr. Bazel's report, and that Dr. Bazel's assessment of  
27 Plaintiff's limitations should therefore be credited as true.

1 (MSPC at 5). The Court disagrees. The ALJ did fully credit Dr.  
2 Bazel's report and arrived at a proper outcome.

3

4 Social Security regulations require the ALJ to consider all  
5 relevant medical evidence when determining whether a claimant is  
6 disabled. 20 C.F.R. §§ 404.1520(b), 404.1527(c), 416.927(c).  
7 Where the Agency finds the treating physician's opinion of the  
8 nature and severity of the claimant's impairments well-supported  
9 by accepted medical techniques, and consistent with the other  
10 substantive evidence in the record, that opinion is ordinarily  
11 controlling. 20 C.F.R. § 404.1527(c)(2); Orn v. Astrue, 495 F.3d  
12 625, 631 (9th Cir. 2007). See also Garrison, 759 F.3d at 1012  
13 (citing Orn) (even when contradicted, treating or examining  
14 physician's opinion is owed deference, and often the "greatest"  
15 weight). An ALJ must give "specific and legitimate" reasons for  
16 rejecting the findings of treating or examining physicians.  
17 Lester v. Chater, 81 F.3d 821, 830-31 (9th Cir. 1995).

18

19 Nevertheless, the ALJ is also "responsible for determining  
20 credibility, resolving conflicts in medical testimony, and for  
21 resolving ambiguities." Andrews v. Shalala, 53 F.3d 1035, 1039  
22 (9th Cir. 1995); see also Tommasetti v. Astrue, 533 F.3d 1035,  
23 1041 (9th Cir. 2008) ("[T]he ALJ is the final arbiter with  
24 respect to resolving ambiguities in the medical evidence.").  
25 Findings of fact that are supported by substantial evidence are  
26 conclusive. 42 U.S.C. § 405(g); see also Kay v. Heckler, 754 F.2d  
27 1545, 1549 (9th Cir. 1985) ("Where the evidence as a whole can  
28 support either a grant or a denial, [the court] may not

1 substitute [its] judgment for the ALJ's."); Ryan v. Comm'r, 528  
 2 F.3d 1194, 1198 (9th Cir. 2008) ("'Where evidence is susceptible  
 3 to more than one rational interpretation,' the ALJ's decision  
 4 should be upheld.") (quoting Burch v. Barnhart, 400 F.3d 676, 679  
 5 (9th Cir. 2005)). An ALJ need not address every piece of  
 6 evidence in the record, but only evidence that is significant or  
 7 probative. See Howard ex rel. Wolff v. Barnhart, 341 F.3d 1006,  
 8 1012 (9th Cir. 2006).

9

10 Here, Plaintiff asserts that the ALJ "did not properly  
 11 reject the residual functional capacity set by [Dr. Bazel]" and  
 12 the Court should therefore credit Dr. Bazel's report as true.  
 13 (MSPC at 5). Plaintiff suggests that the ALJ failed to give due  
 14 consideration to Dr. Bazel's prescribed hand restrictions, which  
 15 included "[n]o repetitive pushing or pulling with hand/wrist,  
 16 [and] no repetitive finger/wrist motion." (AR 402). Plaintiff  
 17 also observes that Dr. Bazel's "After Care Instructions" of  
 18 October 22, 2008, advised Plaintiff to make only "limited use" of  
 19 her hands. (MSPC at 5; AR 557). Plaintiff cites Benecke v.  
 20 Barnhart, 379 F.3d 587 (9th Cir. 2004), for the proposition that  
 21 limitations identified by a treating physician, and not properly  
 22 rejected by an ALJ, should be credited as true. Plaintiff  
 23 alleges that the ALJ's failure to credit Dr. Bazel's report  
 24 caused her to pose faulty hypotheticals to VE Ey.<sup>22</sup>

---

25 <sup>22</sup> Plaintiff's Complaint focuses on the part of Dr. Bazel's  
 26 report relating to Plaintiff's hand limitations, but Dr. Bazel  
 27 also opined on Plaintiff's limitations due to her lumbar  
 28 condition. (AR 402). These included "[n]o lifting beyond 20  
     lbs., no bending, stooping, climbing, prolonged standing or  
     walking, no driving over 60 minutes." (Id.). The ALJ included

1       The Court is satisfied, however, that the ALJ did  
2 appropriately credit Dr. Bazel's report, and Benecke is  
3 inapposite. Benecke held that "[r]equiring remand for further  
4 proceedings any time the vocational expert did not answer a  
5 hypothetical question addressing the precise limitations  
6 established by improperly discredited testimony would contribute  
7 to waste and delay and would provide no incentive to the ALJ to  
8 fulfill her obligation to develop the record." Benecke, 379 F.3d  
9 at 595. In the present case, the ALJ did not "improperly  
10 discredit" Dr. Bazel's December 9, 2008 Permanent and Stationary  
11 Report. To the contrary, the ALJ identified Dr. Bazel as  
12 Plaintiff's treating physician, cited his report repeatedly and  
13 at length, compared his treatment notes with Dr. Hoffman's, and  
14 specifically cited Dr. Bazel's work restrictions of "no  
15 repetitive finger/wrist motion." (AR 34-35, 402). The latter  
16 restriction is precisely the recommendation that Plaintiff  
17 suggests the ALJ discredited. (MSPC at 3; Plaintiff's Response  
18 to Defendant's Memorandum in Support of Answer ("Plaintiff's  
19 Response" at 2)). The ALJ found that Dr. Bazel's "no repetitive  
20 finger/wrist motion" was consistent with "frequent (not constant)  
21 fingering." (AR 35).

22  
23       Moreover, the ALJ gave due consideration to Dr. Bazel's  
24 entire report, which not only recommended that Plaintiff avoid  
25 repetitive hand motions but also noted "definite improvement" in  
26 her lumbar and upper extremity condition and the "complete

---

27       these limitations, with minor variations Plaintiff has not  
28 questioned, in her RFC. (AR 32).

1 resolution" of her neuropathy. (AR 34; see also AR 399-400 (Dr.  
 2 Bazel's review of January and July 2008 lumbar and upper  
 3 extremity studies)). The full record reveals that Dr. Bazel  
 4 found Plaintiff's condition "dramatically improved" over the  
 5 course of 2008, leaving her ready to return to "modified duty" at  
 6 work. (AR 401). Crediting his report as true, the ALJ arrived  
 7 at an appropriate RFC.

8

9 **B. The ALJ Arrived At A Valid RFC Based On The Complete Record,**  
 10 **And The Vocational Expert Testimony Was Proper**

11

12 The ALJ concluded that Plaintiff had an RFC that included  
 13 the ability to "frequently handle and finger with both hands."  
 14 (AR 32). At the Second Hearing, Plaintiff's counsel devoted  
 15 considerable time to questioning VE Allan Ey as to the meaning of  
 16 Dr. Bazel's restriction on "repetitive fingering." (AR 87-89).  
 17 Plaintiff's counsel appears to have been concerned that an RFC  
 18 permitting "frequent" handling and fingering was inconsistent  
 19 with the "repetitive" hand motions Dr. Bazel counseled Plaintiff  
 20 to avoid. However, "frequent" and "repetitive" do not have  
 21 identical meanings in this context.

22

23 Under Social Security Ruling ("SSR") 83-10, "'[f]requent'  
 24 means occurring from one-third to two-thirds of the time." SSR  
 25 83-10, 1983 WL 31251 (1983). "Occasionally," by contrast, "means  
 26 occurring from very little up to one-third of the time." Id.  
 27 The same Ruling notes that "[m]any unskilled light jobs are  
 28 performed primarily in one location, with the ability to stand

1 being more critical than the ability to walk. They require use  
 2 of arms and hands to grasp and to hold and turn objects, and they  
 3 generally do not require use of the fingers for fine activities  
 4 to the extent required in much sedentary work," even though  
 5 "light" jobs require more standing or walking. Id.

6

7 The Agency thus routinely uses "frequent" and "occasional"  
 8 to describe different physical movements associated with its  
 9 categories of "light" and "sedentary" work, but does not employ  
 10 the term "repetitive" in the same way. Courts have generally  
 11 concluded that "frequent" and "repetitive" are not synonymous.<sup>23</sup>  
 12 See, e.g., Gallegos v. Barnhart, 99 Fed. Appx. 222, 224 (10th  
 13 Cir., 2004) ("frequent" and "repetitive" are not synonymous, and  
 14 ALJ's finding that plaintiff could perform jobs requiring  
 15 "frequent" reaching, handling or fingering was not inconsistent  
 16 with physician's recommendation against "repetitive" actions);  
 17 LeFevers v. Comm'r, 476 Fed. Appx. 608, 611 (6th Cir. 2012) ("In  
 18 ordinary nomenclature, a prohibition on 'repetitive' lifting does  
 19

---

20       <sup>23</sup> The Ninth Circuit has noted that "frequent" and "repetitive"  
 21 are not the same. Gardner v. Astrue, 257 Fed. Appx. 28, 30 n.5  
 22 (9th Cir. 2007). Furthermore, the court found that  
 23 "'repetitively' in this context appears to refer to a qualitative  
 24 characteristic--i.e., how one uses his hands, or what type of  
 25 motion is required--whereas 'constantly' and 'frequently' seem to  
 26 describe a quantitative characteristic--i.e., how often one uses  
 27 his hands in a certain manner. Under this reading, a job might  
 28 require that an employee use his hands in a repetitive manner  
frequently, or it might require him to use his hands in a  
 repetitive manner constantly." Id. (emphasis in original). As  
 such, the court theorized, "someone who cannot not use his hands  
constantly in a repetitive manner, but can use his hands  
frequently in a repetitive manner, could perform the jobs of  
 electronics worker and marker." Id. (emphasis in original).

1 not preclude a capacity for 'frequent' lifting," and non-Agency  
2 doctor's use of term "repetitive" was not inconsistent with RFC  
3 for light work); McCarter v. Colvin, 2014 WL 4908990 (D. Kan.,  
4 Sept. 30, 2014) ("ALJ's hypothetical of frequent handling and  
5 fingering with the right hand and no repetitive use by the right  
6 hand is not erroneous, as 'no repetitive' use and 'frequent' use  
7 are synonymous") (emphasis added).

8

9       The Court therefore disagrees with Plaintiff's contention  
10 that the ALJ accepted an RFC inconsistent with Dr. Bazel's  
11 recommendation against "repetitive" hand motions. As noted  
12 above, the ALJ gave ample consideration to Dr. Bazel's entire  
13 assessment, which did not specifically bar "frequent" handling  
14 and fingering. The transcript of the Second Hearing, like the  
15 relevant case law, does not show any basis for equating  
16 "frequent" and "repetitive" handling and fingering. At most, the  
17 record shows VE Ey agreeing with Plaintiff's suggestion that  
18 "frequent" use of the hands -- the standard the ALJ used in her  
19 hypotheticals -- might require "intermittent repetitive" hand  
20 motions. (AR 88-89) (emphasis added). The VE opined that  
21 "intermittent repetitive" activity could involve "some breaks,  
22 but at times you're doing repetitive types of things." (AR 88).  
23 He offered the example of a telephone order taker whose actions  
24 are repetitive while entering data, but not at other times.  
25 (Id.). Plaintiff's counsel then asked the VE to consider a  
26 hypothetical employee who was restricted from using "repetitive"  
27 (not "intermittent repetitive") hand, finger and wrist motions.  
28 (AR 90). The VE opined that such a person could not do the

1 alternative work that would have been permissible under two of  
2 the ALJ's three hypotheticals. (Id.).

3

4 Moreover, the ALJ's hypotheticals did not demand that the  
5 individual perform "repetitive" hand motions. All three  
6 hypotheticals the ALJ posed to Mr. Ey asked him to consider an  
7 individual whose work activities required hand motions more  
8 limited than those described in Dr. Bazel's restrictions. (AR  
9 84-86). As such, they fell within Dr. Bazel's restrictions. The  
10 ALJ twice asked Mr. Ey to describe alternative work for an  
11 individual who could do "only frequent handling and fingering  
12 with both hands," and added a third hypothetical involving an  
13 individual "who could do no more than occasional handling and  
14 fingering." (AR 84-86). Mr. Ey opined that an individual capable  
15 of "frequent" handling and fingering could find alternative work,  
16 but one capable of only "occasional" hand motions could not.  
17 (Id.).

18

19 In reviewing an ALJ's findings, the court also considers  
20 whether her decision is supported by substantial evidence in the  
21 record as a whole. Aukland, 257 F.3d at 1035. Here, the ALJ  
22 properly considered evidence indicating that Plaintiff's symptoms  
23 were not as severe as alleged. (See AR 34). First, she noted  
24 Dr. Bazel's finding that Plaintiff's condition had dramatically  
25 improved following a full course of conservative treatment, with  
26 no surgery. (AR 35). Plaintiff did not avail herself of  
27 recommended follow-up treatment that was also conservative, such  
28 treatment by an orthopedist. (Id.). Plaintiff did not seek

1 follow-up treatment for CTS from December 2008 until she had a  
2 single neurology consultation in November 2011. (AR 35).  
3 “[E]vidence of ‘conservative treatment’ is sufficient to discount  
4 a claimant’s testimony regarding severity of an impairment.”  
5 Parra v. Astrue, 481 F.3d 742, 751 (9th Cir. 2007) (citing  
6 Johnson v. Shalala, 60 F.3d 1428, 1434 (9th Cir. 1995)).

7  
8 Subjective evidence in the record also supports the ALJ’s  
9 conclusions regarding Plaintiff’s credibility. Plaintiff told  
10 the ALJ that she left her job because she “started having  
11 problems with [her] hands.” (AR 76). However, she told Dr.  
12 Bazel that she was fired after struggling to keep up with her  
13 work requirements (which may have related to her hand problems),  
14 but also because a “new manager . . . came in who had favorites  
15 and started to cut back her work hours and give them to [the  
16 manager’s] ‘friends’.”. (AR 388). Plaintiff avoided taking  
17 prescribed pain medications because they made her sleepy, but did  
18 not present evidence that she had requested adjustments to her  
19 medications that might have addressed these concerns. (AR 33,  
20 63).

21  
22 As the ALJ also observed, Plaintiff’s testimony as to her  
23 daily activities weakened her credibility. (AR 33). Plaintiff  
24 could prepare breakfast and dinner, “try to pick up light duties  
25 around my home,” take showers, feed her puppy, and take walks  
26 twice a week. (AR 289). She was able to do laundry and dishes,  
27 make her bed daily, and water her plants. (AR 291). The ALJ  
28 noted that although Plaintiff had difficulty brushing her hair,

1 "[i]t was noted at the face-to-face application meeting . . .  
2 that [Plaintiff] did not have problems using her hands or  
3 writing." (AR 33). Similarly, the ALJ reasoned that Plaintiff's  
4 "ability to remove weeds, which requires the ability to  
5 grip/grasp and pull, is inconsistent with her statement . . .  
6 that she needs help opening cans and bottles." (Id.). Finally,  
7 although Plaintiff stated in her application that she could only  
8 stand or walk for thirty minutes and sit for an hour, she told  
9 the ALJ that she could "walk longer than sitting," and walked  
10 around the block for exercise. (AR 64).

11

12 When assessing a claimant's credibility, the ALJ must engage  
13 in a two-step analysis. Molina v. Astrue, 674 F.3d 1104, 1112  
14 (9th Cir. 2012). First, the ALJ must determine if there is  
15 medical evidence of an impairment that could reasonably produce  
16 the symptoms alleged. (Id.). If such evidence exists, the ALJ  
17 must make specific credibility findings in order to reject the  
18 claimant's testimony. (Id.). The ALJ may consider "(1) ordinary  
19 techniques of credibility evaluation, such as the claimant's  
20 reputation for lying, prior inconsistent statements concerning  
21 the symptoms, and other testimony by the claimant that appears  
22 less than candid; (2) unexplained or inadequately explained  
23 failure to seek treatment or to follow a prescribed course of  
24 treatment; and (3) the claimant's daily activities." Smolen, 80  
25 F.3d at 1284; Tommasetti, 533 F.3d at 1039. As noted above, the  
26 ALJ considered evidence in all of these categories and rendered  
27 specific credibility findings that led her to reject Plaintiff's  
28 testimony.

In sum, after giving full weight to Dr. Bazel's entire report, assessing other medical evidence in the record and considering the credibility of Plaintiff's own testimony, the ALJ arrived at hypotheticals that were "accurate, detailed, and supported by the record." Tackett, 180 F.3d at 1101. The ALJ took care to solicit opinions from two additional vocational experts when the first VE's testimony proved faulty, and Plaintiff does not suggest that Mr. Ey, the VE at the Second Hearing, made any error in answering the ALJ's valid hypotheticals. Accordingly, the VE's testimony was proper and remand is not justified on this ground.

12

VIII.

14

## CONCLUSION

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16 Consistent with the foregoing, IT IS ORDERED that Judgment  
17 be entered AFFIRMING the decision of the Commissioner. The Clerk  
18 of the Court shall serve copies of this Order and the Judgment on  
19 counsel for both parties.

20

21 DATED: October 28, 2014

/S/

33

SUZANNE H. SEGAL  
UNITED STATES MAGISTRATE JUDGE

23

## **NOTICE**

24

26

THIS DECISION IS NOT INTENDED FOR PUBLICATION IN LEXIS/NEXIS,  
WESTLAW OR ANY OTHER LEGAL DATABASE.

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